

ELIGIBLE CONTRACT PARTICIPANT

Customer Name: _____

Customer Account Number: _____

Customer Net Worth: _____

In order for you, as the customer, to qualify as an “Eligible Contract Participant” as defined by the Commodity Exchange Act Section 1a(18), you must represent to Direct FX Pty Ltd, that the above named Customer falls under one of the following categories noted below.

Please enter an “X” in the applicable box(s) below that applies to the above named customer

The term “eligible contract participant” means:

TABLE A

1	Financial Institution	A corporation operating under the fifth undesignated paragraph of section 25 of the Federal Reserve Act (12 U.S.C. 603), commonly known as “an agreement corporation”;	<input type="checkbox"/>
2	Financial Institution	A corporation organized under section 25A of the Federal Reserve Act (12 U.S.C. 611 et seq.), commonly known as an “Edge Act corporation”;	<input type="checkbox"/>
3	Financial Institution	An institution that is regulated by the Farm Credit Administration;	<input type="checkbox"/>
4	Financial Institution	A Federal credit union or State credit union (as defined in section 101 of the Federal Credit Union Act (12U.S.C. 1752));	<input type="checkbox"/>
5	Financial Institution	A depository institution (as defined in section 3 of the Federal Deposit Insurance Act (12 U.S.C. 1813));	<input type="checkbox"/>
6	Financial Institution	A foreign bank or a branch or agency of a foreign bank (each as defined in section 1(b) of the International Banking Act of 1978 (12 U.S.C. 3101(b)));	<input type="checkbox"/>

7	Financial Institution	Any financial holding company (as defined in section 2 of the Bank Holding Company Act of 1956);	<input type="checkbox"/>
8	Financial Institution	A trust company; or	<input type="checkbox"/>
9	Financial Institution	A similarly regulated subsidiary or affiliate of an entity described in any of categories (1) through (8) of this Table A .	<input type="checkbox"/>
10	Investment Company	An investment company subject to regulation under the Investment Company Act of 1940 (15 U.S.C. 80a–1 et seq.) or a foreign person performing a similar role or function subject as such to foreign regulation (regardless of whether each investor in the investment company or the foreign person is itself an eligible contract participant);	<input type="checkbox"/>
11	Insurance Company	An insurance company that is regulated by a State, or that is regulated by a foreign government and is subject to comparable regulation as determined by the Commission, including a regulated subsidiary or affiliate of such an insurance company;	<input type="checkbox"/>
12	Commodity Pool	A commodity pool that— (I) has total assets exceeding \$5,000,000; and (II) is formed and operated by a person subject to regulation under the Commodity Exchange Act or a foreign person performing a similar role or function subject as such to foreign regulation (regardless of whether each investor in the commodity pool or the foreign person is itself an eligible contract participant) provided, however, that for purposes of section 2(c)(2)(B)(vi) and section 2(c)(2)(C)(vii) of the Commodity Exchange Act, the term —eligible contract participant shall not include a commodity pool in which any participant is not otherwise an eligible contract participant;	<input type="checkbox"/>
13	General Qualified Business Entity	A corporation, partnership, proprietorship, organization, trust, or other entity— (I) that has total assets exceeding \$10,000,000; (II) the obligations of which under an agreement, contract, or transaction are guaranteed or otherwise supported by a letter of credit or keep well, support, or other agreement by an entity described in sub clause (I) above, and in categories (1) through (12), or (15) of this Table A ; or (III) that— (aa) has a net worth exceeding \$1,000,000; and (bb) enters into an agreement, contract, or transaction in connection with the conduct of the entity’s business or to manage the risk associated with an asset or liability owned or incurred or reasonably likely to be owned or incurred by the entity in the conduct of the entity’s business;	<input type="checkbox"/>

14	Employee Benefit Plan	<p>An employee benefit plan subject to the Employee Retirement Income Security Act of 1974 (29 U.S.C. 1001 et seq.), a governmental employee benefit plan, or a foreign person performing a similar role or function subject as such to foreign regulation—</p> <p>(I) that has total assets exceeding \$5,000,000; or</p> <p>(II) the investment decisions of which are made by</p> <p>(aa) an investment adviser or commodity trading advisor subject to regulation under the Investment Advisers Act of 1940 (15 U.S.C. 80b–1 et seq.) or this Act;</p> <p>(bb) a foreign person performing a similar role or function subject as such to foreign regulation;</p> <p>(cc) a financial institution; or</p> <p>(dd) an insurance company described in clause (ii), or a regulated subsidiary or affiliate of such an insurance company;</p>	<input type="checkbox"/>
15	A Governmental Entity	<p>(I) a governmental entity (including the United States, a State, or a foreign government) or political subdivision of a governmental entity;</p> <p>(II) a multinational or supranational government entity; or</p> <p>(III) an instrumentality, agency, or department of an entity described in sub clause (I) or (II) above; except that such term does not include an entity, instrumentality, agency, or department referred to in sub clause (I) or (III) of this clause;</p>	<input type="checkbox"/>
16	An SEC-Regulated Broker Dealer	<p>(I) A broker or dealer subject to regulation under the Securities Exchange Act of 1934 (15 U.S.C. 78a et seq.) or a foreign person performing a similar role or function subject as such to foreign regulation, except that, if the broker or dealer or foreign person is a natural person or proprietorship, the broker or dealer or foreign person shall not be considered to be an eligible contract participant unless the broker or dealer or foreign person also meets the requirements of categories (13) or (19) in this Table A;</p> <p>(II) an associated person of a registered broker or dealer concerning the financial or securities activities of which the registered person makes and keeps records under section 15C(b) or 17(h) of the Securities Exchange Act of 1934 (15 U.S.C. 78o–5(b), 78q(h)); (III) an investment bank holding company (as defined in section 17(i) of the Securities Exchange Act of 1934 (15 U.S.C. 78q(i));</p>	<input type="checkbox"/>
17	A CFTC-Regulated Futures Commission Merchant	<p>A futures commission merchant subject to regulation under this Act or a foreign person performing a similar role or function subject as such to foreign regulation, except that, if the futures commission merchant or foreign person is a natural person or proprietorship, the futures commission merchant or foreign person shall not be considered to be an eligible contract participant unless the futures commission merchant or foreign</p>	<input type="checkbox"/>

		person also meets the requirements of categories (13) or (19) of this Table A ;	
18	A Floor Broker or Trader	A floor broker or floor trader subject to regulation under this Act in connection with any transaction that takes place on or through the facilities of a registered entity (other than an electronic trading facility with respect to a significant price discovery contract) or an exempt board of trade, or any affiliate thereof, on which such person regularly trades; or	<input type="checkbox"/>
19	A Qualified Individual	An individual who has amounts invested on a discretionary basis, the aggregate of which is in excess of— (I) \$10,000,000; or (II) \$5,000,000 and who enters into the agreement, contract, or transaction in order to manage the risk associated with an asset owned or liability incurred, or reasonably likely to be owned or incurred, by the individual.	<input type="checkbox"/>
20	Acting as a broker or performing an equivalent agency function on behalf of another person - Registered Intermediary Acting for Other Qualified ECPs	(B)(i) a person described in categories (1) through (10), (12), (13), (16), (17), or (18) of this Table A , acting as broker or performing an equivalent agency function on behalf of another person described in this Table A ; or (ii) an investment adviser subject to regulation under the Investment Advisers Act of 1940[15 U.S.C. 80b–1 et seq.], a commodity trading advisor subject to regulation under this Act, a foreign person performing a similar role or function subject as such to foreign regulation, or a person described in categories (1) through (10), (12), (13), (16), (17), or (18) of this Table A , in any such case acting as investment manager or fiduciary (but excluding a person acting as broker or performing an equivalent agency function) for another person described in Table A and who is authorized by such person to commit such person to the transaction; or	<input type="checkbox"/>

By signing below, the Customer hereby represents and warrants that it is now, and will remain during the term of this authorization, an eligible contract participant within the meaning of section 1(a)(18)(A), (B) or (C) of the Commodity Exchange Act.

Customer Name: _____

Signature: _____

Name (print): _____

Title: _____

Date: _____