

Instructions:

All information requested must be in the English language or accompanied by a certified third-party English translation.

If you are not submitting online, please return this booklet with the applicable pages completed:

Customer Account Application: please provide all requested information and sign and date **on page 11.** For joint accounts, each joint account holder must provide all requested information, sign and date.

Customer Agreement and Signature Page: Please read carefully, then sign and date all required acknowledgements and consents. For joint accounts, each joint account holder must sign **or initial** all acknowledgements and consents.

U. S. Corporate Accounts:

Articles of Incorporation/ By-Laws

Certificate of Incorporation

Certificate of Good Standing

Shareholder documentation

Government issued photo IDs for officers and owners of 25% or greater interest

U.S. Partnership / LLP / LLC Accounts:

Partnership/Operating Agreement

Certificate of Formation/Organization

Shareholder documentation

Government issued photo IDs for members /partners with 25% or greater interest

U.S. IRA Accounts:

The customer should complete an **Individual RCG account application**. Please be sure to include the Additional Risk Disclosure as these are retirement funds. The application is then sent by the customer or broker to the custodian of the customer's choice. Once signed and stamped by the custodian on all account agreement signature blocks, it is then sent to RCG by the custodian for processing. **Please note that RCG New Accounts does not forward applications to custodians on IRA accounts.**

U. S Trust Accounts:

Trust Agreement

Government issued photo IDs for trustee(s)

**Trust Amendment, if Powers of the Trustee do not include the permission to trade commodity futures.*

All Entity Accounts:

OCR controller page; a form must be completed for each natural person trader

Beneficial Owners Certification Forms

Internal Revenue Service Form W-9, W-8 or W-8BEN-E

Discretionary Accounts ONLY:

Discretionary Trading Authorization/Limited Power of Attorney

CTA documents including executed Receipt of Disclosure Document and Fee Payment Authorization, if applicable

Government issued photo ID for natural person controller

Hedge Accounts ONLY:

Hedge Account Certification Form. Please provide all requested information, sign and date.

IF YOU ARE TRANSFERRING AN EXISTING FUTURES ACCOUNT FROM ANOTHER BROKERAGE FIRM:

Transfer Authorization. Please provide all requested information, sign and date. Please provide a copy of your most recent account statement from the transferring broker.