



## ACCOUNT CONTROLLER IDENTIFICATION REQUEST\*\*

Please complete the Controller information below. It is imperative that you provide this information to Marex NA promptly to ensure that Marex NA and its clients remain in compliance with CFTC regulations.

List **each person who controls** the trading of the account. This may be a natural person who exercises discretion or an employee who acts within his/her capacity as an employee of the legal entity which trades the account. There may be more than one Controller. **If more than one Controller, copy and attach additional sheets for each additional Controller. Please indicate which Controller is the primary trader by designating a "P" next to their name. All requested information is mandatory, if applicable.**

### Account Controller:

Individual's Name: \_\_\_\_\_

Controller's Full Address: \_\_\_\_\_  
(Address from where the trading is taking place)

Direct Phone Number: \_\_\_\_\_ Email Address: \_\_\_\_\_

Job Title/Position: \_\_\_\_\_ Employer Name: \_\_\_\_\_

Relationship to Account Owner: \_\_\_\_\_

Employer NFA ID # (if any): \_\_\_\_\_

Controller/Individual NFA ID # (if any): \_\_\_\_\_

Employer LEI Code (Legal Entity Identifier (if any): \_\_\_\_\_

**\*\* Each account must identify a natural person account controller. If you have completed the Limited Power of Attorney Form separately, you do not need to complete this page.**