



PERSONAL FINANCIAL STATEMENT			
INDIVIDUAL INFORMATION (Please type or print)			
Name:			
Residence Address:			
City, State & Zip:			
Phone:		Email:	
Employer Name:			
STATEMENT OF FINANCIAL CONDITION			
ASSETS	(Omit Cents)	LIABILITIES	(Omit Cents)
Cash on hand and in banks	\$	Notes payable to bank	\$
Marketable Securities	\$	Amounts payable to others	\$
Real estate owned	\$	Real estate mortgage payable	\$
Other Assets – itemize	\$	Other debts – itemize	\$
Description of Other Assets:		Description of Other Debts:	
TOTAL ASSETS		TOTAL LIABILITIES	
\$		\$	
SOURCES OF INCOME		CONTINGENT LIABILITIES	
Annual Salary, bonuses & commissions		\$	\$
Other income	\$	TOTAL NET WORTH	
TOTAL		\$	
Do you have interests in any other trading accounts? YES _____ NO _____ If yes, please list all accounts:		Are you a defendant in any suit or legal action?	
		Have you ever been declared bankrupt? If so, describe:	

The information contained in this statement is provided for the purpose of maintaining updated financial information for you on behalf of the undersigned, or persons or firms on whose behalf the undersigned may either severally or jointly with others, execute a guaranty in your favor. The undersigned understands that you are relying on the information provided herein (including the designation made as to ownership of property) in deciding an appropriate margin level for you.

The undersigned represent and warrant that the information provided in this statement is true and complete. If there are any material changes to the information provided, the undersigned will provide written notice to you of such a change.

You are authorized to make all inquiries necessary to verify the accuracy of the statements made herein, including requesting income, bank, money market or other similar accounts balances and obtaining the undersigned's credit history.

Signature: _____ Date: _____

Signature: _____ Date: _____